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## NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

02/13/2004

Dowell & Dowell, P.C. Suite 309 1215 Jefferson Davis Highway Arlington, VA 22202

EXAMINER			
DO, ANH HONG			
ART UNIT	PAPER NUMBER		

2624 DATE MAILED: 02/13/2004

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/781.309	02/13/2001	Yoshihisa Shinagawa	13599	7954

TITLE OF INVENTION: METHOD AND APPARATUS FOR CODING AND DECODING IMAGE DATA USING CRITICAL POINTS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$665	\$300	\$965	05/13/2004

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.
- ☐ Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- II. PART B FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

(703) 746-4000 or Fax

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

maintenance fee notifications. CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

7590

02/13/2004

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Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

(Depositor's name (Signature) (Date)

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nonprovisional	YES	\$665		\$300	\$965	05/13/2004
EXA	MINER	ART UN	ΙΤ	CLASS-SUBCLASS		
DO, ANH HONG		2624		382-236000	_	
1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.		names of agents O firm (hav agent) an	inting on the patent front page f up to 3 registered patent a R, alternatively, (2) the name ring as a member a registered id the names of up to 2 registor agents. If no name is listerinted.	attorneys or 1 of a single attorney or 2 tered patent		

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or cate	gories (will not be printed on the patent):	□ individual	☐ corporation or other private group entity	√ □ governmen	
4a. The following fee(s) are enclosed:	4b. Payment of Fee(s):	- marviduar	a corporation of other private group entity	- governmen	
☐ Issue Fee	• , ,	☐ A check in the amount of the fee(s) is enclosed.			
☐ Publication Fee	☐ Payment by credit	. ,			
Advance Order - # of Copies	☐ The Director is he Deposit Account Nur	ereby authorized	by charge the required fee(s), or credit any (enclose an extra copy of this	overpayment, to s form).	
Director for Patents is requested to apply the Issue Fed	and Publication Fee (if any) or to re-apply	any previously p	aid issue fee to the application identified abo	ove.	
(Authorized Signature)	(Date)				
NOTE; The Issue Fee and Publication Fee (if req other than the applicant; a registered attorney or interest as shown by the records of the United States	agent: or the assignee or other party in I				
This collection of information is required by 37 Clobtain or retain a benefit by the public which is to application. Confidentiality is governed by 35 U.S.C estimated to take 12 minutes to complete, including completed application form to the USPTO. Time case. Any comments on the amount of time you suggestions for reducing this burden, should be set Patent and Trademark Office, U.S. Departmen 22313-1450. DO NOT SEND FEES OR COMP. SEND TO: Commissioner for Patents, Alexandria, V.	of file (and by the USPTO to process) and 122 and 37 CFR 1.14. This collection is gathering, preparing, and submitting the will vary depending upon the individual require to complete this form and/or at to the Chief Information Officer, U.S. to of Commerce, Alexandria, Virginia LETED FORMS TO THIS ADDRESS.				
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Dowell & Dowell	l, P.C.		DO, ANH	HONG
Suite 309 1215 Jefferson Day	vis Highway		ART UNIT	PAPER NUMBER
Arlington, VA 2220			2624	ľ
			DATE MAILED: 02/13/2004	b

# Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 671 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 671 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

	Application No.	Applicant(s)
	09/781,309	SHINAGAWA ET AL.
Notice of Allowability	Examiner	Art Unit
	ANH H DO	2624
The MAILING DATE of this communication appeal All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED or other appropriate come GHTS. This application is	in this application. If not included munication will be mailed in due course. <b>THIS</b>
1. This communication is responsive to		
2. The allowed claim(s) is/are <u>1-33</u> .		
3. 🗵 The drawings filed on 13 February 2001 are accepted by the	ne Examiner.	
<ul> <li>4.  Acknowledgment is made of a claim for foreign priority ur</li> <li>a)  All b)  Some* c)  None of the:</li> <li>1.  Certified copies of the priority documents have</li> <li>2.  Certified copies of the priority documents have</li> <li>3.  Copies of the certified copies of the priority documents have</li> <li>International Bureau (PCT Rule 17.2(a)).</li> <li>* Certified copies not received:</li> </ul>	been received. been received in Applica	tion No
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		ile a reply complying with the requirements
<ol> <li>A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give</li> </ol>		
<ol> <li>CORRECTED DRAWINGS (as "replacement sheets") must (a) including changes required by the Notice of Draftspers         <ol> <li>to Paper No./Mail Date</li> <li>including changes required by the attached Examiner's Paper No./Mail Date</li> <li>ldentifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in the deposition of the depos</li></ol></li></ol>	on's Patent Drawing Revi s Amendment / Comment .84(c)) should be written or he header according to 37 ( sit of BIOLOGICAL MA	or in the Office action of the drawings in the front (not the back) of CFR 1.121(d). TERIAL must be submitted. Note the
Attachment(s) 1. ⊠ Notice of References Cited (PTO-892)		Informal Patent Application (PTO-152)
2. Notice of Draftperson's Patent Drawing Review (PTO-948)		Summary (PTO-413),
<ol> <li>Information Disclosure Statements (PTO-1449 or PTO/SB/0 Paper No./Mail Date 4</li> <li>Examiner's Comment Regarding Requirement for Deposit</li> </ol>	8), 7. Examiner	b./Mail Date 's Amendment/Comment 's Statement of Reasons for Allowance
of Biological Material	9. ☐ Other	

Application/Control Number: 09/781,309

Art Unit: 2624

### **DETAILED ACTION**

## **Priority**

- 1. Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.
- 2. Claims 1-33 are allowed.
- 3. The following is an examiner's statement of reasons for allowance:

The present invention provides a new method, apparatus and computer product for encoding and decoding image data capable of maintaining the image quality and improving the compression rate at the same time (specification, page 3, lines 1-7). In particular, the new method, apparatus and computer product comprise the following features, which the prior art, either taken singly or in combination, does not teach:

- generating a virtual intermediate frame based on the matching; encoding an actual intermediate frame included in the image data based on the virtual intermediate frame (see independent claims 1, 5, 6, 28, 29, 30, and 31);
- generating a virtual intermediate frame based on computing a matching between the key frames thus separated; decoding an actual intermediate frame based on the virtual intermediate frame and the supplementary data (see independent claims 17, 21, 22, 32, and 33);
  - an intermediate frame region, which stores data, related to an intermediate frame

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generated based on a computed matching between key frames stored in said key frame region (see independent claim 26).

### Contact Information

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to ANH H DO whose telephone number is 703-308-6720. The examiner can normally be reached on 5/4-9.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, DAVID K MOORE can be reached on 703-308-7452. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

February 9, 2004.